

Transparency Declaration

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Disclosure of Payments Made by Chugai Across Europe in 2021

Published June 2022

Chugai Pharma Europe Ltd.

Introduction and Background

Chugai Pharma Europe Ltd and Chugai Pharmaceutical Co. Ltd, Japan disclose all relevant transfers of value to Healthcare Professionals (HCPs) and Healthcare Organisations (HCOs) made in the European Union in accordance with the EFPIA Disclosure Code¹ and the relevant local Codes of Practice and/or local legal requirements, and also in accordance with Data Protection regulations (including but not limited to the General Data Protection Regulation (GDPR) (EU) 2016/679 and local legislation)².

The move towards greater transparency is part of a Europe-wide initiative designed to bring clarity and transparency to the manner in which the research based pharmaceutical industry and the healthcare community collaborate, which ultimately have been shown to benefit patient care. The HCP offers invaluable expertise on disease management and plays an important part in informing the pharmaceutical industry's efforts to improve patient care and treatment – both critical to improving health outcomes. It's important that these interactions meet the highest standard of integrity that patients, governments, regulatory bodies, other stakeholders and the media expect. Our goal is to assure the public that such relationships do not influence clinical decisions and that they can trust their HCP to recommend treatment, or administer appropriate care based solely on clinical evidence and experience.

Chugai Pharma Europe Ltd and Chugai Pharmaceutical Co. Ltd, Japan support the initiative by The European Federation of Pharmaceutical Industries and Associations (EFPIA) (https://www.efpia.eu/) and has taken the decision to disclose all transfers of value in keeping with the EFPIA Code on Disclosure on Transfers of Value to Pharmaceutical Companies to HCPs and HCOs (https://www.efpia.eu/relationships-code/disclosure-of-payments-to-hcps/) for both direct and indirect payments made across the European Union from any part of the Chugai corporation. Furthermore, some payments will be disclosed by Chugai Pharma Europe to other European countries not within the European Union.

The local payments in those countries where there is a Chugai affiliate business then these are disclosed via central platforms in France, Germany and the UK respectively in accordance with local Code requirements:

- Chugai Pharma UK disclose in accordance with both The Association of British Pharmaceutical Industry (ABPI)³ and the Irish Pharmaceutical Healthcare Association (IPHA)⁴ as the company undertakes marketing activities in both Member States.
- Chugai Pharma France disclose in accordance with Loi Bertrand via the website Ordre National Des Medicins⁵.

- Chugai Pharma Germany disclose in accordance with the Code of Conduct of the members of Verein Arzneimittel und Kooperation im Gesundheitswesen e.V. ("AKG")⁶.
- Chugai Pharmaceutical Co. Ltd, Japan disclose in accordance with The Japan Pharmaceutical Manufacturers Association (JPMA) Code of Practice⁷.
- Payments made in the United States are disclose in accordance with The Physician Financial Transparency Report ('Sunshine Act') managed by Genentech⁸.

Chugai Pharma Europe Ltd and Chugai Pharmaceutical Co. Ltd, Japan have also taken the initiative to disclose all transfers of value relating to those HCPs and HCOs who are registered to practice in European countries where there is no Chugai office or marketing activities. Direct payments take place where a HCP has provided consultative support with regards to product development in order to give a better understanding of local treatment and regulatory needs. For these engagements there is a contract containing clearly defined consultative role in content, context and format and any payment would be strictly controlled through standard Fair Market Value limitations⁹. Such engagements would include attendance to advisory boards meetings or speaking at a company-sponsored event. Indirect payments take place during the research and development phase where responsibility for managing a clinical trial has been outsourced to a Clinical Research Organisation (CRO). The CRO are responsible for making these payments, and Chugai is responsible for ensuring such indirect payments are disclosed accurately. Payments relating to research and development are be disclosed in an aggregated form in accordance with EFPIA requirements:

- Research and Development ToV. Research and Development ToVs in each Reporting Period must be disclosed by each Member Company on an aggregate basis.
- Costs related to Events that are clearly related to activities covered in this section can be included in the aggregate amount under the "Research and Development Transfers of Value" category.

Payments relating to activities that do not fit the criteria of research and development are disclosed at an individual level. Payments made by Chugai Pharma Europe Ltd and Chugai Pharmaceutical Co. Japan will also be made in the non R&D setting where a therapy area specialist may be invited to provide advice at an advisory board or attend a company-sponsored event as a speaker.

In most EU Member States explicit consent is a fundamental requirement in order to disclose at a named individual level which captures payments relating to:

- the contribution of costs related to events (e.g. travel, accommodation) and includes sponsorship
- fees for service and consultancy.

Chugai upholds and works according to The General Data Protection Regulation 2016/679 (GDPR). Where explicit consent is not given then the transfer of value will be disclosed in an aggregated form.

Chugai encourages all transfers of value to be disclosed in a form that is transparent and will disclose according to the requirements of each Member State.

Payments made to Healthcare Organisations are all disclosed at a named institutional level.

The disclosed payments are in local currency where possible. The information given relates to payments made in the financial year Jan 1st, 2021 to Dec 31st, 2021 and relates to the dates of payments rather than event dates.

The EFPIA Code requires disclosure in the country where the Recipient has its principal practice. All Transfers of Value to a given recipient will be disclosed in the country where this principal practice is located.

The EFPIA Code states that each Member Company will decide how to organise its disclosures, either at a central or local level, unless the national code fixes the platform of disclosure. However, disclosure should conform to the national code requirements and relevant disclosures should be publicly accessible in the country where the Recipient has their practice. If a Member Company is not resident or does not have a subsidiary or an affiliate in the country where the Recipient has their principal practice, the Member Company should disclose the Transfer of Value in a manner consistent with the national code of the country where the Recipient has their practice. This information will be publicly available for 3 (three) years and stored for a minimum of 5 (five) years. Chugai does not support partial disclosure. Chugai supports these initiatives and will adhere to the relevant Codes of Practice.

This is a progressive initiative with the objective for Chugai to disclose all transfers of value in a format which is in accordance with local requirements (using the standard template).

Chugai is not a direct member of EFPIA and does not have a business office in every country so is not required to disclose in every country. Chugai is doing so in the spirit of transparency.

Please note that currency conversion rates may result in disclosed figures being slightly different. To discuss anything further please contact disclosure@chugai-pharm.co.uk

Head of Compliance

Chugai Pharma Europe Ltd

References:

- 1. EFPIA Code on Disclosure on Transfers of Value to Pharmaceutical Companies to Healthcare Professionals and Healthcare Organisations

 (https://www.efpia.eu/relationships-code/disclosure-of-payments-to-hcps/)
- 2. https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32016R0679&qid=1656599006528
- 3. https://www.abpi.org.uk/reputation/disclosure-uk/
- 4. https://www.transferofvalue.ie/
- 5. https://www.transparence.sante.gouv.fr/flow/main?execution=e2s1
- 6. https://www.ak-gesundheitswesen.de/?cn-reloaded=1
- 7. http://www.jpma.or.jp/english/
- 8. www.gene.com
- 9. https://www.efpia.eu/relationships-code/national-codes/

Payments Made in 2021

Payments were made to Healthcare Professionals and/or Healthcare Organisations in the below countries.

Click on the 'COUNTRY NAME' to access the disclosed payment details.

Click on 'Methodological notes' for full details of Chugai's disclosure methodology.

European Member States where Payments were made in 2021	Link to Chugai's methodological notes
<u>Hungary</u>	
<u>Italy</u>	
Norway	<u>Methodological notes</u>
<u>Spain</u>	
<u>Sweden</u>	
<u>Switzerland</u>	

Hungary

				Chugai Hungary - 2021	Date of publication: 28-06-2022 14:31								
										Reporting Currency	y: HUF		
	Full Name	HCPs: City of Principal Practice HCPs: City where registered	Country of Principal Practice	Principal Practice Address	Vat/Tax Registration Number	Donations and Grants to HCOs (Art.	Contribution to costs of Events (Art. 3.01.1.b & 3.01.2.a)				d consultancy (Art. & 3.01.2.c)		TOTAL
	(Art. 1.01)	(Art. 3)	(Schedule 1)	(Art. 3)	(Art. 3)	3.01.1.a)	Sponsorship agreements with HCOs I third parties appointed by HCOs to manage an Event		Travel and lodging expenses to an HCP specific to events	Fee for service to an HCP	Expenses related to a fee for service to an HCP		Optional
	INDIVIDUAL NAMED DISCLOSURE - one line per HCP (i.e. all transfers of value [ToVs]/annum for an individual HCP will be summed up: itemization should b available for the individual Recipient or public authorities' consultation only)												
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	OTHER, NOT INCLUDED ABOVE - where information cannot be disclosed on an individual basis for legal reasons												
	Aggregate amount attributable to transfers o	f value to such Recipients	- Art 3.02	•		N/A		r	*	0	0		0
	Number of Recipients in aggregate disclosure	e - Art 3.02											
	% of the number of Recipients included in the	e aggregate disclosure in tl	he total number	of Recipients disclosed - Art 3.02									
		INDIVIDUAL NAMED DISC	LOSURE - one li	ine per HCO (i.e. all ToVs lannum for a	n individual HC(D will be summed up: it	emization should be a	vailable for the indiv	idual Recipient or pu	ıblic authorities' con	sultation only)		
HCOs						0	0	0	0	0	0	•	0
				OTHER, NOT INCLUDED ABO	NF - where infe	rmation cannot be dis	olosad on an individu	al basis for logal roas	-one				
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HCOs	Number of Recipients in aggregate disclosure	e - Art 3.02				0	-	0	0	0	0		0
	% of the number of Recipients included in the	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%		0.00%				
R&D													
	Transfers of Value re Research & Development as defined - Article 3.04										12,252,096	12,252,096	

Italy

						LEGATO 1 Dicazione:28/06/2022							
	Full Name	HCPs: City of Principal Practice HCOs: city where registered	Country of Principal Practice	pal		Donations and Grants to HCOs		bution to costs of Ev 3.01.1.b and 3.01.2.a		Fee for service	and consultancy		
	Cognome e Nome/ Denominazione	dove si svolge prevalentemente la professione Organizzazioni sanitarie: Sede Legale	Stato dove si svolge prevalenteme nte la professione/at tività	Indirizzo dove si svolge prevalentemente la professione/attività	Codice di identificazion e del Paese (Facoltativo)	Donazioni e contributi a organizzazioni sanitarie		nanziamento di ever ssi e riunioni scientil) e Punto 5.6, letter:	iche)	Corrispettivi per prestazioni professionali e consulenze (punto 5,5 lettera b e punto 5,6 lettera c) CE			
	(Art. 1.01) Codice EFPIA Disclosure(CE) Punto 5.1 Codice deontologico Farmindustria (CD)	(Art. 3 CE) da Punto 5.5 a 5.7 CD	(Modulo 1CE) Allegato 2(CD)	(Art. 3 CE) (da Punto 5.5 a 5.7CD)	(Art. 3CE) (Punto 5.6, lettera a CD)	(Art. 3.01.1.a CE) (Punto 5.6, lettera a CD)	Sponsorship agreements with HCOsthird parties appointed by HCOs to manage an Event Accordi di sponsoritzzazione con organizzazione terzi nominati da organizzazioni sanitarie per la realizzazione di eventi	Registration fees	Travel & Accomodation Viaggi e ospitalità	Fees Corrispettivi	Related expenses agreed in the fee for service or consultancy contract, including travel & accommodation relevant to the contract Spese riferibili ad attività di consulenza e prestazioni professionali risultanti da uno specifico comtratto, comprendenti le		TOTAL Optional TOTALE Facoltativo
	up: itemization should be available for the inc	liuidual Baciniant or public	authorities' con	sultation only as appropriate) DATI SI	I BASE INDIVID	IIAI F - upa riga per ci	ascup operatore capit	ario (ossia sarà indic	ato l'importo comple	ecino di tutti i tracfo	rimenti di ualore effetti	uati pell'arco dell'a	ono a favore di cias
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			ALTDO N	OTHER, NOT INCLUDED ABO ON INCLUSO NELLE VOCI PRECEDEN'	OVE - where info	ormation cannot be dis	closed on an individua	al basis for legal reas	ons	1			
	Aggregate amount attributable to transfers o Dato aggregato attribuibile a trasferimenti di		Art. 3.02 CE	ON INCLUSIO NELLE VOCI PALCEBEN	ii - nei casi iii c	Non Applicabile	Non Applicabile	nse manadale per m					0
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sanitari	% of the number of Recipients included in the % del numero di Destinatari inclusi nel dato a	aggregate disclosure in t	he total number			Non Applicabile	Non Applicabile	0.00%	_				0.00%
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santane				OTHER, NOT INCLUDED ABO	VE – where info	ormation cannot be dis	closed on an individua	al basis for legal reas	ons		U U		
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			Tras	ferimenti di valore per Ricerca&Svilup	po come da de	tinizione – Punto 5.8 e	5.9 e Allegato 2 CD					2,180.00	2,180.00

Norway

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Helsepe	INDIVIDUELL OFFENTLIGGJØRING -én linje per helsepersonell (dvs. alle verdioverføringer for én enkelt helsemedarbeider i løpet av et år summeres: spesifisering er tilgjengelig bare på forespørsel fra den enkelte mottager eller vedkommende offentlige myndigheter)												
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				ANNET, ikke ir	kludert over – hvis in	nformasjon ikke kan o	offentliggjøres individ	duelt av juridiske hen	syn				
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					SAMLET OFFENTLIG	GJØRING							
Forsknin g og Verdioverføringer i forbindelse med forskning og utvikling etter nærmere definisjon – Artikkel 3.04 og vedlegg 1 utvikling										531,156			

Spain

				E	FPIA Report						
								Fecha de Publicación	1:28-06-2022 14:50		
								Reporting currency: E	EUR		
					"Actividades format	ivas y reuniones científic 18.3.1.b & 18.3.2.a)"	o-profesionales (Art.	'Prestación de servicios	(Art.18.3.1. c & 18.3.2.b)		
	Nombre Completo (Art 18.3)	Ciudad de ejercicio profesional (Art 18.3)	DNI / CIF (Art 18.3)	(,	Colaboraciones / Patrocinios con OS / Terceros asignados por OS para la gestión de eventos	Cuotas de inscripción	Desplazamiento y alojamiento	Honorarios	Gastos relacionados	Investigación y Desarrollo (Art 18.6)	
PUBLICACIÓN NOMINATIVA INDIVIDUAL											
HCP-s	Tabernero, Josep	Barcelona		No aplica	No aplica	0	0	900	0	No aplica	
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	% que representan sobre el total de PS que han recibid	o Transferencias	s de Valor - Artículo 18.4	No aplica	No aplica	0.00%	0.00%	0.00%	0.00%	No aplica	
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Sweden

Full Name RCPac City of Principal Country of Principal Practice Address Principal Practice Address				Chugai	Pharma Sverige - 2021						Date of publication: 2			
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	505													
Z53,633 Z53,635	H&D		·		Research & Development T	ransfers of Val	ue (Article 12 and Artic	le 1)					253,633	253,633

Switzerland

					DATA DISCLOS	URE FORM					
									Date of publication	: 28-06-2022 14:54	
									Reporting Currence	ey:	
						Cont	ribution to costs of E	vents	Fee for service	and consultancy	
	Full name	HCPs: City of Principal Practice HCOs: city where registered	Country of Principal Practice	Principal Practice Address	Donations and Grants to HCOs	Sponsorship agreements with HCOs I third parties appointed by HCOs to manage an Event	Registration Fees	Travel & Accommodation	Fees	Related expenses agreed in the fee for service or consultancy contract, including travel & accommodation relevant to the contract	TOTAL
		INDIVIDUAL NAMED	DISCLOSURE FOR H	EALTHCARE PROFES	SIONALS [one row]	per HCP, with all tran	sfers of value for the	reporting period su	mmed up]		
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							individual basis for l	P			
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		iecipients disclose				HILL	0.00%	0.00%	100.007	100.007	100.00%
		INDIVIDUAL I	NAMED DISCLOSUF	RE FOR HEALTHCAR	E INSTITUTIONS (o	ne row per HCl, with	all transfers of value	for the reporting peri	od summed up]	_	
HCO-s					C	0	0	0		0 0	0
					•	•	individual basis for l	P		.r	_
HCO-s	Aggregate amount attribut			-	0	•	•	0	•	0 0	0
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				AGGREGATE	DISCLOSURE FOR F	RESEARCH & DEVELO	DPMENT				
R&D				Transfers of	Value Research & Deve	lopment as defined					2100
					TOTAL AN	OUNT					
TOTAL			Tabelana i f	T(U-L	TOTAL AM						
TOTAL			i otal amount of	rransfers of value paid	to individual HUPS, HUU)s and for Research & De	velopment as defined				4,011.79